



Synthèse de nanoparticules par plasma (essentiellement dans les liquides)

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Nanoparticles for what?

Do what chemists cannot do !

Rules to follow to find the holy grail !

- Rule n°1: benefit from non-equilibrium conditions !
- Rule n°2: benefit from nano-effects, *i.e.* quantum confinement !
- Rule n°3: combine high production yields and narrow size distributions
- Rule n°4: avoid clustering to get isolated nanoparticles.
- Rule n°5: understand to optimize





Temperature [°C]

Rule n°1: benefit from non-equilibrium conditions !

Example: mix silicon and tin or silicon and germanium ٠





Rule n°1: benefit from non-equilibrium conditions !

• Example: exotic nanoparticles

- Alloy NPs
- Bi-materials
- Core-shell structures



Perro et al. J. Mater. Chem. 15 3745 (2005)





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Rule n°2: benefit from nano-effects, i.e. quantum confinement



N. Lopez et al., J. Catalysis 223 (2004) 232



Rule n°2: benefit from nano-effects, *i.e.* quantum confinement



Alayoglu et al. Nature Materials 7 333 (2008)









RuPt alloy Ru

Ru@Pt core-shell

Linked monometallic NPs

Rule n°3: combine high production yields and narrow size distributions

Monodisperse nanoparticles are arbitrarily defined as a predominately homogeneous population with >90% uniformity in size distribution.

It is not only a matter of size, but also of shape !

Common production yields:

- Laser ablation: 100 mg / h
- Plasma in liquids (liquid conversion): 10 g / h
- Plasma in liquids (electrode erosion): 100 mg / h
- Low pressure CVD : 100 mg / h





Rule n°4: avoid clustering to get isolated nanoparticles

- The oxide-passivated nanocrystals have optically forbidden, indirect-gap-type transitions whereas the hydrogen-passivated nanocrystals have optically allowed, direct-gap-type transitions.
- H-passivated Si-nc luminesce in the blue whereas O-passivated Si nanocrystals luminesce in the yellow-red.

DFT calculations for 1.1 to 1.4 nm Si-nc

Zhou *et al.* 2003 *Nano Lett.* **3** 163

Surface groups strongly affect the final properties of small NPs.







Rule n°5: understand to optimize



Size distributions

1.0

0.8

10

6

primary p.

cav. bubble

agglomerates-8

Chap. I

Nanoparticles and discharges in gases



van de Wetering et al. 2015 J. Phys. D: Appl. Phys. 48 035204





1 - Nanoparticles and low pressure discharges

Ar : ~ 50 sccm

(A) $0.5 \operatorname{sccm} \operatorname{SiH}_4$

High-rate synthesis of Si-nc for PV applications





See also: P. Roca, L. Boufendi, H. Kersten, etc.



Mangolini et al. 2005 Nano Lett. 5 655

1 - Nanoparticles and low pressure discharges

Dual chamber Physical Vapor Deposition







1 - Nanoparticles and low pressure discharges

Pulvérisation combinatoire sur liquide ionique $[C_1C_4im][Tf_2N]$



1 - Nanoparticles and high pressure discharges

Spark generator (thermal plasma - low-powered arc)

Low frequency: yield $\sim 1 \text{ mg/h}$

Local melting of electrodes

Why not simply melting electrodes?



T: 015-2786751 www.patent.tudelft.nl

evaporation/condensation method:

PROS - good yield and control over deposition parameters

CONS compared with spark discharge - furnace energy consumption and heating up and cooling down times, clogging of the furnace due to particle deposition on the walls, etc.



Dealing with the control of impurities



1 - Nanoparticles and high pressure discharges

Non-thermal generator Stop before or prevent arcing

> R reg dielectric DC 5 mm (AC)electrode C_{Reg.} C₀ gas Iplasma Telectrode 30 (mA) 4. re-ionisation 5. Imax 30 Current limited by Rreg 3. attachment (mA) 20 20 2. arrival on cathode 6. duration controlled 10 10 1. propagation by (RC)reg. Te ~10-30 eV 1.4 eV 0 Tg ~300 K 500 K Time 1500 20 80 ns 0 40 60 (b) streamer $\stackrel{\text{few} \mu s}{\longleftarrow}$ prevented spark (a) micro-discharge

J.P. Borra et al. 2006 J. Phys. D: Appl. Phys. 39 R19

LOW THROUGHPUT



Dealing with the control of impurities



1 - Nanoparticles and high pressure discharges

Askari et al. 2014 Appl. Phys. Lett. 104 163103



RF power: 100W 250 sccm Ar SiH₄: 10 ppm 1mm electrode spacing

HIGH THROUGHPUT



Dealing with the control of impurities



Capillary glass tube uwave power: 35W Mixing chamber Ar/H₂/SiCl₄ O.D.1100 um. I.D.630 um 200 sccm Ar 144 MHz $H_2 < 5\%$ ┫╌╎┤ M. B. SiCl₄: 100 ppm 2mm electrode spacing 30 mm Shutter CCD Spectrometer Substrate (room temp.) Stage

Nozaki et al. 2007 Nanotechnol. 18 235603

100 sccm Ar $H_2 < 5000 \text{ ppm}$ SiCl₄: 1.5-2.5 ppm 180 µm electrode spacing

DC power: 2W





Barwe et al. 2015 Plasma Process. Polym, 12 132

Chap. II

Discharges in liquids







Several possibilities:

by electrical characteristics

by liquids

by inter-electrode gap distances

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- igniting a discharge,
- maintaining the discharge for a given time and, eventually, control the voltage or the current during this step,
- setting an off-time to recharge capacitors and flush the liquid,
- repeating the process as long as necessary

Dielectric or conductive liquid?

The conductance of the liquid is NOT an intrinsic characteristic if the liquid contains non negligible impurity levels.

Pre-treatments of both the liquid and its container to remove dust, particles, dissolved gases, electrolytes, etc.

If the rate constant for the electron–impurity interaction is, say, 10^{-8} cm³ s⁻¹, an electron lifetime of typically 10^{-4} s, the impurity concentration should not exceed 10^{-9} mol l⁻¹, which is particularly weak (6×10¹¹ cm⁻³ vs 3.3×10²² cm⁻³ for water)





Several possibilities:

by electrical characteristics

by liquids

by inter-electrode gap distances

Shape	Liquid	Applied voltage (kV)	Current (A) and pulse width	Gap distance (mm)	Reference
Relaxation	Distilled water	+112	Unknown (~500 ps)	4	Starikovskiy et al (2011)
Relaxation	Distilled water	-35	250 (~200 ns)	0.4	Schoenbach et al (2008)
Relaxation	Oil ITO 100	+4-6	$100 (\sim 1.2 \mu s)$	0.3	Kudelcik et al (2010)
Relaxation	Liquid Helium	23	280 (2.5 ms)	5	Hayakawa et al (1995)
Relaxation	n-Hexane	15	140 (10 ns)	1	Fuhr <i>et al</i> (1986)
Relaxation	n-Decane	0.022	$8 \times 10^{-8} (0.6 \mathrm{s})$	2×10^{-5}	Virwani et al (2007)
Transistor	Oil Daphne Cut HL-25	+0.110	4.2 (~250 ns)	0.25-1	Kurnia et al (2008)
Transistor	Liquid nitrogen	± 0.220	$10.5 (32 \mu s)$	0.3	Muttamara and Fukuzawa (2012)

Table 1. Examples of electrical characteristics found for discharges in liquids.





Several possibilities:

by electrical characteristics by liquids by inter-electrode gap distances

Dividing dielectric liquids into three groups:

- Non-polar liquids such as liquefied gases, hexane, benzene and mineral oils.
- Polar liquids with very high permittivity, which have to be distinguished depending on whether they can be self-dissociated (self-ionized) or not.

• Others

- The former ones, such as water or ethanol, have a high permittivity. A part of their molecules spontaneously dissociates and recombines partially, giving a certain resistivity.

- The latter ones have either a high permittivity, such as nitrobenzene or propylene, or a low one, such as chlorobenzene.





Several possibilities:

by electrical characteristics

by liquids

by inter-electrode gap distances

Table 2. Some physical data of dielectric liquids at 1 atm and 293 K (except for liq.N₂ (75 K), liq.He (5 K) and liq.Ar (85 K)); m.p.: melting point; b.p.: boiling point; B.S.: breakdown strength.

Liquid	ε _r	Ion mobility $(m^2 V^{-1} s^{-1})$	$\begin{array}{l} \lambda \\ (Wm^{-1}K^{-1}) \end{array}$	m.p.–b.p. (K)	$\mu \ ({\rm kg}{\rm m}^{-1}{\rm s}^{-1})$	B.S. (MV cm ⁻¹)	Reference
Non-polar liquids							
Liquid N ₂	1.44	2.50×10^{-7}	0.137	63.1-77.4	$1.66 imes 10^{-4}$	1.6-1.9	Henson (1964)
Liquid He	1.05	4.62×10^{-6}	0.0198	b.p.: 4.2	3.32×10^{-6}	0.7	Donnelly and Barenghi (1998)
Liquid Ar	1.6	$6.00 imes10^{-8}$	0.132	83.8-87.2	$2.78 imes 10^{-4}$	1.1-1.42	Henson (1964)
Hexane	2.0	$1.90 imes 10^{-8}$	0.124	177.9-341.8	3.09×10^{-4}	1.1-1.3	Gray and Lewis (1969)
Benzene	2.3	2.76×10^{-7}	0.167	279.2-353.2	$6.50 imes 10^{-4}$	1.1	Huang and Freeman (1980)
Vegetal (castor) oil	3.2	$1.60 imes10^{-10}$	0.17	260 -> 660	0.985	1.0	Yang et al (2012)
Mineral (white) oil	2.2	2.60×10^{-9}	0.11	264-648	0.020	1.0	Yang <i>et al</i> (2012)
Polar liquids							
Pure water	80	$2.00 imes 10^{-7}$	0.609	273.2-373.2	1.00×10^{-3}	0.65	Light and Licht (1987)
Ethanol	23	2.10×10^{-7}	0.171	159.2-351.5	1.20×10^{-3}	1.65	Atten and Gosse (1969)
Chlorobenzene	5.69	2.54×10^{-8}	0.132	228.2-404.6	1.06×10^{-3}	0.95	Barret et al (1975)
Nitrobenzene	35	$2.00 imes 10^{-7}$	0.149	278.8-484.1	1.96×10^{-3}	0.5-1	Atten and Gosse (1969)
Propylene carbonate	64.4		0.165	224–515	2.48×10^{-3}	2.2	Atten and Gosse (1969)



Several possibilities:

by electrical characteristics by liquids by inter-electrode gap distances





Submerged discharges



Sano et al. 2002 J. Appl. Phys. 92 2784

Discharges in contact with liquids



Chiang et al. 2010 Plasma Sources Sci. Technol. 19 034011





Submerged discharges



P. Bruggeman *et al.* 2008 *J. Phys. D: Appl. Phys.* **41** 194007 See also F. Krčma's works

Discharges in bubbles in liquids



Nomura *et al.* 2006 *Appl. Phys. Lett.* **88** 211503 See also A. Hamdan's works









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A simplified sketch of event







A simplified sketch of event 203 -20 -10 10 0 20 Prebreakdown Electrode melting and streamer Voltage Post-Bubble Spark rise oscillations discharge 150 5 6 (4)1 15-Continuum Line 100 emission emission Voltage (kV) 10 Current (A) 50 5 0 -50 500 ns -100 100 200 300 1 µs 10 µs 100 µs 0 Time (ns)





Charge injection

• For very weak fields, the regime is purely ohmic. $I = \sigma \cdot E$

The electric field extracts impurity ions (limited in quantity) from the liquid.

• For intermediate fields, the current saturates. Sometimes, in the I-V curve, this saturation regime is reduced to an inflection point or can even be missing.

• When the electric field exceeds a certain threshold, the current rises until breakdown. In this regime, injection of charge carriers occurs via different mechanisms.

Massive injection of charge carriers in the liquid from one electrode induces a space charge gradient which causes turbulence.







Charge injection

Injection* mechanisms** are many and as much dependent on the electric field (which is typically of the order of several tens to several hundreds of $MV \cdot m^{-1}$) as they are of the liquid/electrode interfaces. One finds the following:

- Fowler–Nordheim (field emission) tunnelling from bulk metallic electrodes (such as platinum or gold),
- tunnelling through electrodes with semiconductive surfaces (a Schottky barrier such as iron or copper oxides),
- Poole–Frenkel emission by electrodes with insulating surfaces (such as alumina or silica),
- Auger electron emission (due to the relaxation of a hole created by the emission of tunnelling electrons), etc.

* injection of electrons, *i.e.* for negative HV at the anode and grounded cathode. For positive HV at the cathode and grounded anode, the story is different (not told here).

** depend on ion distribution in the Helmholtz double layer in electrochemistry. This double layer lowers the liquid– electrode potential barrier, making electronic exchanges easier.





Breakdown

A matter of time scale!

1°) "long" (microsecond) electric pulse:

As a result of electrostatic repulsion, the formation of low density channels occurs. Consequently, the discharge propagates through the low-density regions.

2°) "Intermediate" (nanosecond) electric pulse:

The electrostatic forces support the expansion of nanoscale voids behind the front of the ionization wave. In the wave front, the extreme electric field provides a strong negative pressure in the dielectric fluid due to the presence of electrostriction forces, forming the initial micro-voids in the continuous medium.

3°) "short" (picosecond) electric pulse:

The regions of reduced density cannot form because of the extremely short duration of the applied electric pulse. Ionization in the liquid phase occurs as a result of direct electron impact without undergoing a phase transition.





Breakdown





The electrostriction rarefaction wave without breakdown. Water, U = 9 kV, 20 ns. The image was collected 200 ns after the HV pulse.

Electrostriction



The streamer-leader structure formation. Water, U = 12 kV, 20 ns. The image was collected 200 ns after the HV pulse.

A. Starikovskiy, 32nd ICPIG, July 26-31, 2015, Iași, Romania





Emission of shock waves



Bubble expansion step

The afterglow lasts about 100 ns











Dynamics of bubbles



1.92 μ s / image (movie duration: ~500 μ s)





Chap. III

Nanoparticles







General mechanism: Melting



Shape of impacts

Nano-SIMS analyses of AlO mass peak projected onto the corresponding AFM image





Modelling plasma-surface interaction: example of Al



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Boundary conditions

Selection of impacts Weakly asymmetric

 $V_+/V_- = 1$ but practically $V_+/V_- > 0.8$

 $Q=1.6 \text{ W/m}^2$ $R_p=2.5 \text{ }\mu\text{m}$ P=60 bar

Thomson-Marangoni's effect

- Only negligible on large impacts (> 10 $\mu m)$ where pressure dominates

- Strong influence on $d\gamma/dT$

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Pressure effect

- Dominant on large impacts (> $10 \ \mu m$)
- Strong effect of the plasma orientation (tilt)

The plasma cannot be hollow ($\varepsilon = 1.92$) Babaeva et al., J. Phys. D: Appl. Phys. (2009) 42, 132003

Plasma radius	Heat flux	Maximal	Impact radius	Energy to	Total energy
r _{plasma} [µm]	$lpha Q_{plasma}$ [W]	temperature	[µm]	create impact	(exp. data)
		[K]		[µJ]	[mJ]
2.5	1.6	1036	0.96	0.80	0.02
5.0	3.8	1080	2.05	1.90	0.50
10	11	1176	4.67	5.50	0.90
20	35	1140	8.69	17.5	1.66

From 0.4 to 4% of the total energy is used in electrode erosion

Appearance of Cu I lines much before melting

Ohmic overheating when a high-current density is conducting via a protrusion

3.2 – Size distribution

3.3 – Nanoparticles

Shape

Spheres	Sheets	Wires	Cubes
Al, Cu, Ag, Pt, Au, Fe, W, Sı	n Ag, Bi, P b, Zn	Ag, Pb	Cd, Ge
<u>5 nm</u>	Acc.V Spot Magn Det WD H 2 µm 5.00 kV 3.0 25000x TLD 4.4 PPLEM vierge ss Tth	Acc. V. Spot Magn Det. WD 2 gml 500 kW 3.0 25000x TLD 5.4 PPLEM vierge ss Tth	

Role of the electric field

Role of the electric field

Hermanson et al. 2001 Science 294 1082

Dielectrophoresis might be used if insulating materials are synthesized

Role of the electric field

Role of the electric field

Hamdan et al. 2014 Mater. Lett. 135 115

Alloy nanoparticles

 $\begin{array}{c} Cu_{63}Zn_{37}\text{-}Cu_{63}Zn_{37}\\ Cu\text{-}Zn\\ Cu_{28}Ag_{72}\text{-}Cu_{28}Ag_{72}\\ Cu\text{-}Ag \end{array}$

m.p. (Cu) = 1356 K *m.p.* (Ag) = 1234 K

 $Cu_{28}Ag_{72} (at.\%) =$ 73wt.%Ag_{96.4}Cu_{3.6} + 27wt. %Ag_{0.6}Cu_{99.4} as determined by XRD

Alloy nanoparticles

Alloy nanoparticles

Shift in the phase diagramme

 $\begin{array}{c} Cu_{63}Zn_{37} \text{ wires} \\ \varnothing 1 \text{ mm} \end{array}$

Particles are enriched in copper (dezincification)

Cu (73 wt.%), Zn(25 wt.%) & O(2 wt.%)

Alloy nanoparticles

 $Cu_{28}Ag_{72} =$ 73%Cu_{3.6}Ag_{96.4} + 27%Cu_{99.4}Ag_{0.6}

VS.

$$Cu_{91.7}Ag_{4.9}O_{3.4} + Cu_{5.0}Ag_{95.0}$$

Memo	0	Cu	Ag
1	2.39	19.91	77.7
2	0	8.38	91.62
3	0	6.91	93.09
4	0	5.73	94.27
5	0	4.95	95.05
6	0	5.73	94.27
7	0	4.18	95.82
8	0	5	95
9	0	8.58	91.42
10	0	17.81	82.19

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Only single-phase nanoparticles are obtained

Memo	0	Cu	Ag	Total(Atom %)
1	11.43	84.27	4.3	100
2	3.38	91.73	4.89	100
3	6.55	90.04	3.41	100
4	14.7	80.65	4.66	100

Alloy nanoparticles

No alloying from metallic vapours (no mixing?)

Shift in the phase diagramme for elements with different melting points

The HRTEM image of as-prepared alloyed nanoparticle (a) and results of FFT processing of the corresponding area (b). The interplanar spacings found correspond to the (111) plane of the cubic AgCu alloy of the composition $Ag_{0.4}Cu_{0.6}$ as determined from the lattice parameter – composition dependence (c).

 $A Ag_{0.4}Cu_{0.6}$ NP cannot be predicted by the phase diagram

4 – Conclusion

Safe process for the user

Specifity due to pressure gradients

Several erosions processes at stake, early processes having to be clarified

Producing alloy nanoparticles is possible directly from alloy electrodes with pre-determined composition to account for element removals at high temperature but the final composition usually depends on the size of the produced NP.

The control of compositions is still to be studied, but likely possible. Anyway, getting very small NPs is a real challenge.

Phase stability seems to be surprisingly high. New tests are in progress for confirmation...

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